

# Multilingual Modal Sense Classification Using a Convolutional Neural Network

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## Overview

- Modal verbs (MVs) are **ambiguous** between:
  - epistemic** sense (possibility)  
*He could be at home.*
  - deontic** sense (permission/obligation)  
*You can enter now.*
  - dynamic** sense (capability)  
*Only John can solve this problem.*
- MVs are used to implicitly express sentiment  
*Refugees **may**(*de*) **not** (are forbidden to) cross the borders. ⇒ Writer has **negative sentiment** towards refugees crossing the borders.*
- MSC is special case of **word sense disambiguation (WSD)**
  - MVs have **restricted sense inventory**
  - MVs act as **operators which take a full proposition as an argument**

## CNN for Modelling Sentences

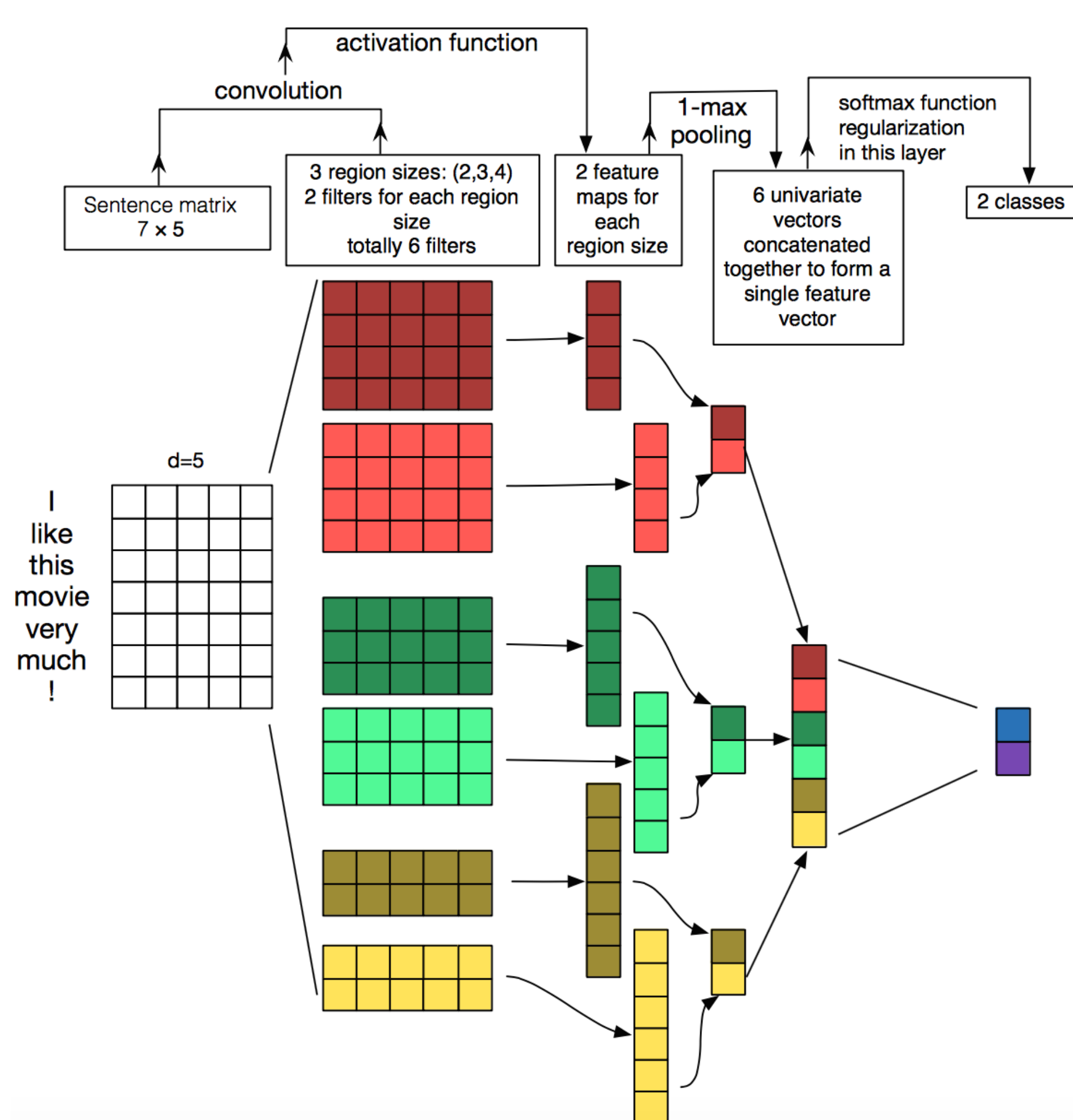


Figure 1: Convolutional neural network (CNN), Kim (2014).

## Experimental Setup

### Corpora

- MPQA**: R&R's **small-scaled** manually annotated dataset with **strong sense bias**
- EPOS<sub>E</sub>**, **EPOS<sub>G</sub>**:
  - a subset of EuroParl & OpenSubtitles corpora, heuristically tagged via the **cross-lingual sense projection** method of Z+

### Model variations (for every modal verb)

- CNN-E<sub>B</sub>** / **CNN-E<sub>U</sub>**
  - 5-fold CV
  - train: **(un) balanced** MPQA + EPOS<sub>E</sub>
  - test: MPQA
- CNN-G**: train and test data from EPOS<sub>G</sub>

### Baselines

- random baseline  $BL_{rand}$
- majority baseline  $BL_{maj}$
- MaxEnt* classifier from Z+
- one-layer neural network NN

## Convolutional Neural Network for Modal Sense Classification

- multilingual modal sense classification** using a **one-layer CNN architecture**
- CNNs outperform strong baselines**, including **manually designed feature-based classifiers** and a plain NN
- we identify known and previously untested semantic and linguistic features** from flexible window regions without syntactic pre-processing
- in a **standard WSD** task the CNN competes with a system using embeddings encoding richer information

## MSC Results

	can	could	may	must	should	micro
$BL_{rand}$	33.33	33.33	50.00	50.00	50.00	41.49
MaxEnt	59.64	61.25	92.14	87.60	90.11	74.88
NN	56.01	55.42	90.00	75.24	88.68	69.74
<b>CNN-E<sub>B</sub></b>	<b>65.78</b>	<b>67.50</b>	<b>93.57</b>	<b>93.82</b>	<b>90.77</b>	<b>79.29</b>

	can	could	may	must	should	micro
$BL_{maj}$	69.92	65.00	93.57	94.32	90.81	80.18
MaxEnt	64.76	63.33	92.14	92.78	<b>91.48</b>	78.01
NN	67.29	66.08	<b>94.23</b>	86.37	90.96	77.93
<b>CNN-E<sub>U</sub></b>	<b>70.87</b>	<b>66.55</b>	93.49	<b>94.97</b>	90.59	<b>80.74</b>

Table 1: CV accuracies on MPQA with **balanced** (upper table) and **unbalanced** training (lower table).

	dürfen	können	müssen	sollen	micro
$BL_{rand}$	50.00	33.33	50.00	50.00	39.10
NN	77.73	43.32	73.88	50.25	57.69
<b>CNN-G</b>	<b>99.49</b>	<b>78.95</b>	<b>85.07</b>	<b>74.63</b>	<b>84.10</b>

Table 2: Accuracy on EPOS<sub>G</sub>.

## The CNN approach to MSC

- outperforms strong baselines
- easily applicable to novel languages**
- reaches **high performance on German**
  - larger & perfectly balanced training data
  - Konjunktiv + tense ⇒ ep vs. de/dy

## WSD Results

	SensEval-3
$S_{naive-prod}$	62.20
$S_{cosine}$	60.50
$S_{prod}$	64.30
$S_{raw}$	63.10
<b>CNN</b>	<b>66.50</b>

Table 3: WSD accuracy on *SensEval-3* dataset.

- AutoExtend* encodes what is the target word, how many possible senses it has, and a sense embedding for each synset
- CNN without explicitly marking the target word outperforms *AutoExtend*

## Feature Detectors

Filters are trained to **detect semantic features** found to be relevant in prior work, e.g. tense, aspectual classes, negation and semantic properties of verbs and phrases, as well as, **previously untested features**.

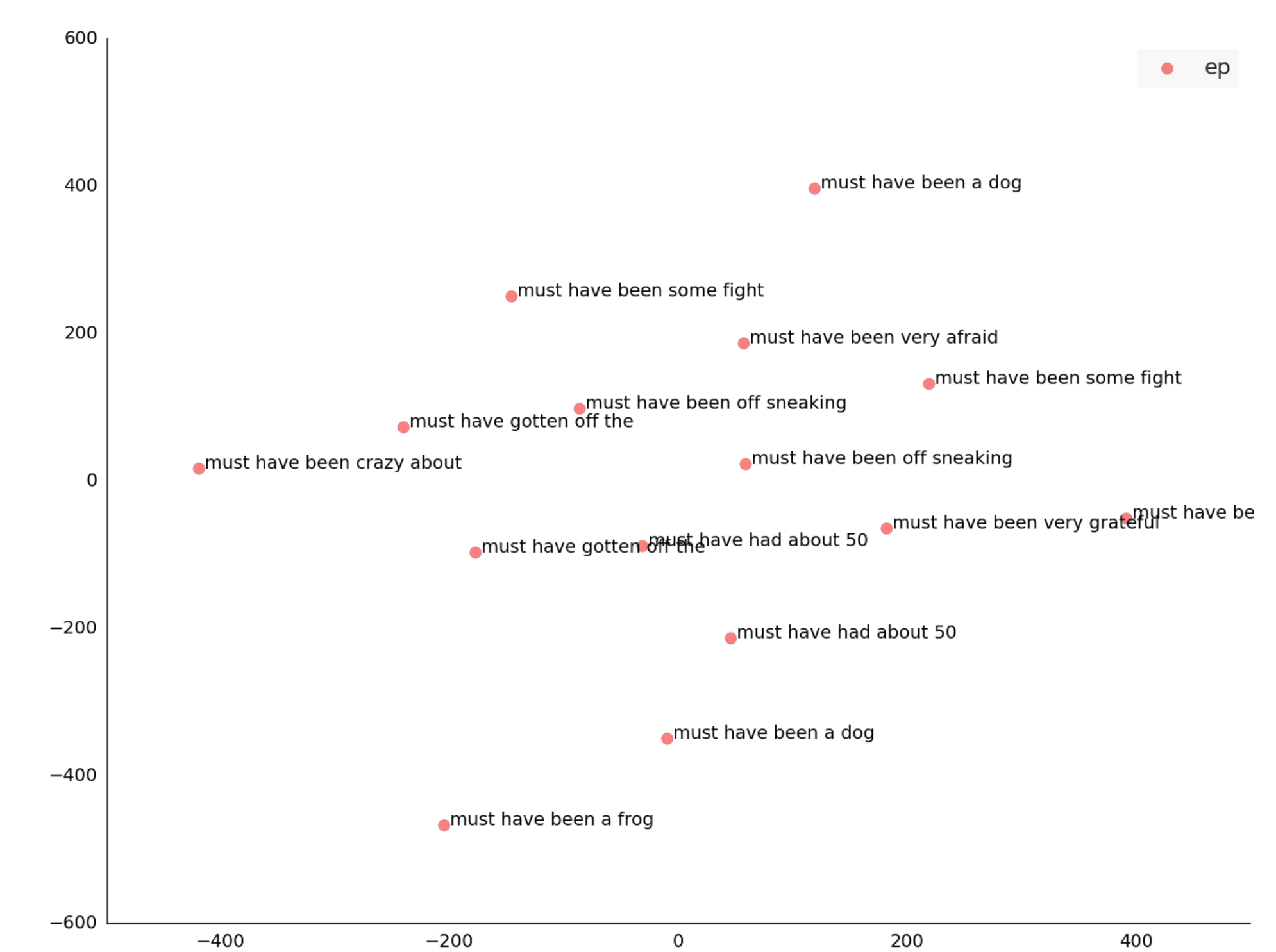


Figure 2: Feature detector that relates past reading of the embedded verb with epistemic sense for *must*.

features	sense	examples
past reading of the emb. verb	ep	you <b>must have been</b> out last night
non-past reading of the emb. verb	de	<b>we must take</b> further efforts
stative reading of the emb. verb	ep	you <b>must think</b> me a perfect fool
eventive reading of the emb. verb	de	<b>we must develop</b> a policy
passive construction	de	actual steps <b>must be taken</b>
negation	de	<b>we must not</b> fear
domain specific vocabulary	de	European parliament, present regulation, fisheries policy
telic clauses	de	to address these problems, to prevent both forum
discourse markers	de	but, and (then)

Figure 3: Other features detected for *must*, including novel feature types.

## CNN for WSD

**Data:** *SensEval-3 lexical sample* dataset

**Baseline:** R&S's sense-specific embeddings

$w$  ... ambiguous word with  $k$  senses  
 $c$  ... centroid = sum of all  $w2v$  vectors of words in the sentence  
 $s^{(j)}$  ... embedding of the  $j$ -th synset of  $w$   
 $S_{cosine} = \langle \cos(c, s^{(1)}), \dots, \cos(c, s^{(k)}) \rangle$   
 $S_{prod} = \langle c_1 s_1^{(1)}, \dots, c_n s_n^{(1)}, \dots, c_1 s_1^{(k)}, \dots, c_n s_n^{(k)} \rangle$   
 $S_{raw} = \langle c_1, \dots, c_n, \dots, s_1^{(k)}, \dots, s_n^{(k)} \rangle$

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## Acknowledgements

This research was supported by the German Research Foundation as part of the Research Training Group "Adaptive Preparation of Information from Heterogeneous Sources" (AIPHES) under grant No. GRK 1994/1.