

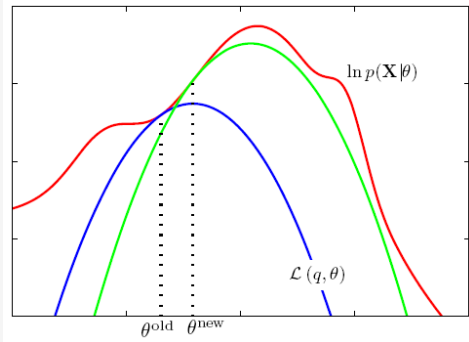
Statistical Machine Translation

-expectation-maximization-

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material from P. Koehn, A. Ng



EM (Expectation Maximization) in a nutshell:

1. Initialize model parameters, e.g., uniform.
2. Assign probabilities to missing data. **E-step**
3. Estimate model parameters from manufactured/expected data. **M-step**
4. Iterate step 2 - 3 until convergence.

E:

$$q_i(z_i) = \frac{p(x_i, z; \theta)}{\sum_{z \in \mathcal{Z}} p(x_i, z; \theta)}$$

M:

$$\theta = \arg \max_{\theta} \sum_{i=1}^m \sum_{z \in \mathcal{Z}} q_i(z_i) \log \frac{p(x_i, z; \theta)}{q_i(z_i)}$$

$$x_i \rightarrow (\mathbf{e}, \mathbf{f})$$

$$z_i \rightarrow a$$

$$q_i(z_i) = p(a|\mathbf{e}, \mathbf{f})$$

$$p(x_i, z_i; \theta) = p(a, \mathbf{e}|\mathbf{f})$$

$$\sum_{i=1}^m$$

$$\sum_{z \in \mathcal{Z}} q_i^t(z_i)$$

$$\log \frac{p(x_i, z; \theta_{t+1})}{q_i^t(z_i)}$$

$$\sum_{(\mathbf{e}, \mathbf{f}) \in \mathcal{D}}$$

$$\sum_a p(a|\mathbf{e}, \mathbf{f})$$

$$\log \frac{p(a, \mathbf{e}|\mathbf{f})}{p(a|\mathbf{e}, \mathbf{f})}$$

E:

$$p(a|\mathbf{e}, \mathbf{f}) = \frac{p(a, \mathbf{e}|\mathbf{f})}{\sum_a p(a, \mathbf{e}|\mathbf{f})}$$

M:

$$\theta = \arg \max_{\theta} \sum_{i=1}^m \sum_a p(a|\mathbf{e}, \mathbf{f}) \log \frac{p(a, \mathbf{e}|\mathbf{f})}{p(a|\mathbf{e}, \mathbf{f})}$$

IBM Model 1:

$$p(\mathbf{e}, a|\mathbf{f}) = \frac{\epsilon}{(l_f + 1)^{l_e}} \prod_{j=1}^{l_e} t(e_j|f_{a(j)})$$

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The trick:

$$p(\mathbf{e}|\mathbf{f}) = \sum_a p(\mathbf{e}, a|\mathbf{f}) = \frac{\epsilon}{(l_f + 1)^{l_e}} \prod_{j=1}^{l_e} \sum_{i=0}^{l_f} t(e_j|f_i)$$

IBM Model 1:

$$p(\mathbf{e}, a | \mathbf{f}) = \frac{\epsilon}{(l_f + 1)^{l_e}} \prod_{j=1}^{l_e} t(e_j | f_{a(j)})$$

The trick:

$$p(\mathbf{e} | \mathbf{f}) = \sum_a p(\mathbf{e}, a | \mathbf{f}) = \frac{\epsilon}{(l_f + 1)^{l_e}} \prod_{j=1}^{l_e} \sum_{i=0}^{l_f} t(e_j | f_i)$$

Final for E-step:

$$\begin{aligned} p(a | \mathbf{e}, \mathbf{f}) &= \frac{p(\mathbf{e}, a | \mathbf{f})}{p(\mathbf{e} | \mathbf{f})} \\ &= \prod_{j=1}^{l_e} \frac{t(e_j | f_{a(j)})}{\sum_{i=0}^{l_f} t(e_j | f_i)} \end{aligned}$$

Counts over 1 sentence:

$$c(e|f; \mathbf{e}, \mathbf{f}) = \sum_a p(a|\mathbf{e}, \mathbf{f}) \sum_{j=1}^{l_e} \delta(e, e_j) \delta(f, f_{a(j)})$$

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Counts over corpus:

$$c(e|f; \mathbf{e}, \mathbf{f}) = \frac{t(e|f)}{\sum_{i=0}^{l_f} t(e|f_i)} \sum_{j=1}^{l_e} \delta(e, e_j) \sum_{i=0}^{l_f} \delta(f, f_i)$$

Counts over 1 sentence:

$$c(e|f; \mathbf{e}, \mathbf{f}) = \sum_a p(a|\mathbf{e}, \mathbf{f}) \sum_{j=1}^{l_e} \delta(e, e_j) \delta(f, f_{a(j)})$$

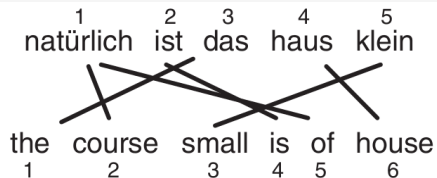
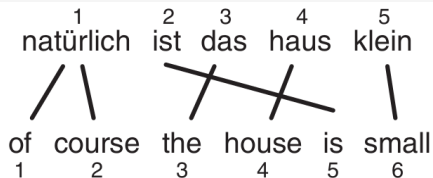
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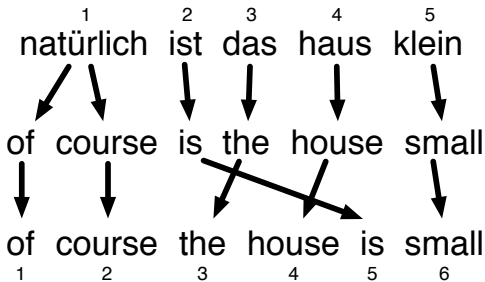
$$c(e|f; \mathbf{e}, \mathbf{f}) = \frac{t(e|f)}{\sum_{i=0}^{l_f} t(e|f_i)} \sum_{j=1}^{l_e} \delta(e, e_j) \sum_{i=0}^{l_f} \delta(f, f_i)$$

Final for M-step:

$$t(e|f) = \frac{\sum_{(\mathbf{e}, \mathbf{f})} c(e|f; \mathbf{e}, \mathbf{f})}{\sum_e \sum_{(\mathbf{e}, \mathbf{f})} c(e|f; \mathbf{e}, \mathbf{f})}$$

Require: set of sentence pairs (e, f)
Ensure: translation prob. $t(e|f)$
 initialize $t(e|f)$ uniformly
while not converged **do**
 {initialize}
 count($e|f$) = 0 **for all** e, f
 total(f) = 0 **for all** f
for all sentence pairs (e, f) **do**
 {compute normalization}
for all words e in e **do**
 s-total(e) = 0
for all words f in f **do**
 s-total(e) += $t(e|f)$
end for
end for
 {collect counts}
for all words e in e **do**
for all words f in f **do**
 count($e|f$) += $\frac{t(e|f)}{s\text{-total}(e)}$
 total(f) += $\frac{t(e|f)}{s\text{-total}(e)}$
end for
end for
end for
 {estimate probabilities}
for all foreign words f **do**
for all English words e **do**
 $t(e|f) = \frac{\text{count}(e|f)}{\text{total}(f)}$
end for
end for
end while





lexical translation step

alignment step

- IBM Model 1:** lexical translation, all reorderings / alignments are equally likely; *still used for initialization*
- IBM Model 2:** adds explicit reordering / alignment model
- IBM Model 3:** adds fertility model
- IBM Model 4:** adds improved reordering model
- IBM Model 5:** fixes deficiency

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IBM Model 2:

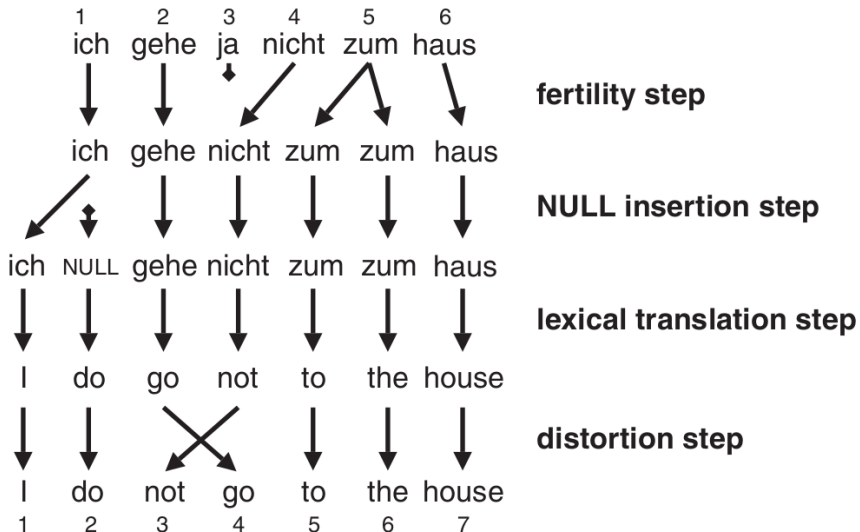
$$p(\mathbf{e}, a|\mathbf{f}) = \epsilon \prod_{j=1}^{l_e} a(i|j, l_e, l_f) t(e_j|f_{a(j)})$$

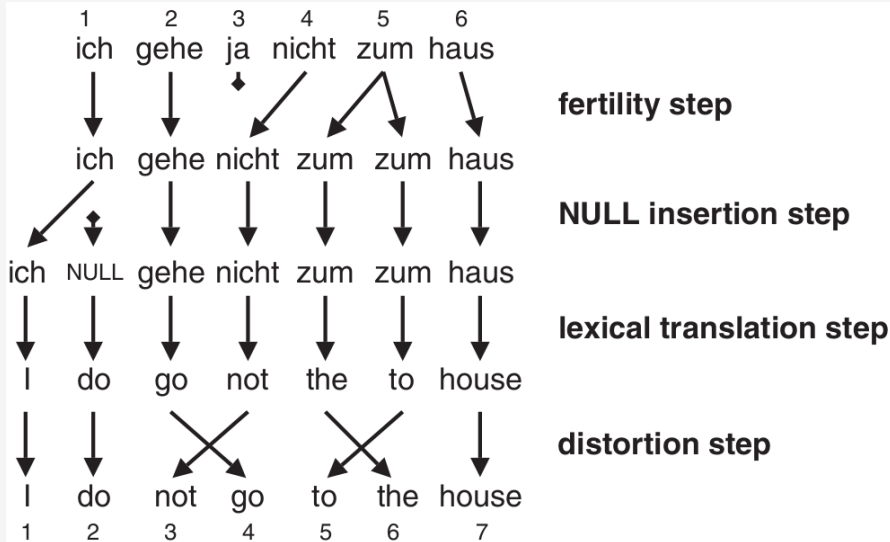
IBM Model 2:

$$p(\mathbf{e}, \mathbf{a} | \mathbf{f}) = \epsilon \prod_{j=1}^{l_e} a(i|j, l_e, l_f) t(e_j | f_{a(j)})$$

Final for E-step:

$$\begin{aligned} p(a | \mathbf{e}, \mathbf{f}) &= \frac{p(\mathbf{e}, a | \mathbf{f})}{p(\mathbf{e} | \mathbf{f})} \\ &= \prod_{j=1}^{l_e} \frac{t(e_j | f_{a(j)})}{\sum_{i=0}^{l_f} t(e_j | f_i)} \end{aligned}$$





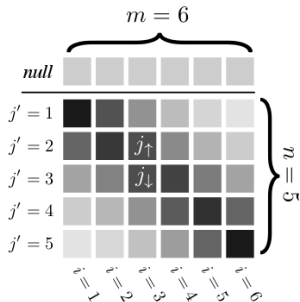
Given : \mathbf{f} , $n = |\mathbf{f}|$, $m = |\mathbf{e}|$, p_0 , λ , θ

$$h(i, j, m, n) = - \left| \frac{i}{m} - \frac{j}{n} \right|$$

$$\delta(a_i = j \mid i, m, n) = \begin{cases} p_0 & j = 0 \\ (1 - p_0) \times \frac{e^{\lambda h(i, j, m, n)}}{Z_\lambda(i, m, n)} & 0 < j \leq n \\ 0 & \text{otherwise} \end{cases}$$

$$a_i \mid i, m, n \sim \delta(\cdot \mid i, m, n) \quad 1 \leq i \leq m$$

$$e_i \mid a_i, f_{a_i} \sim \theta(\cdot \mid f_{a_i}) \quad 1 \leq i \leq m$$



$$p(e_i, a_i | \mathbf{f}, m, n) = \delta(a_i | i, m, n) \times \theta(e_i | f_{a_i})$$

$$p(e_i | \mathbf{f}, m, n) = \sum_{j=0}^n p(e_i, a_i = j | \mathbf{f}, m, n)$$

$$p(a_i | e_i, \mathbf{f}, m, n) = \frac{p(e_i, a_i | \mathbf{f}, m, n)}{p(e_i | \mathbf{f}, m, n)}$$

$$\begin{aligned} p(\mathbf{e} | \mathbf{f}) &= \prod_{i=1}^m p(e_i | \mathbf{f}, m, n) \\ &= \prod_{i=1}^m \sum_{j=0}^n \delta(a_i | i, m, n) \times \theta(e_i | f_{a_i}) \end{aligned}$$

still quadratic time!

Optimizing for λ

$$\begin{aligned} \nabla_{\lambda} \mathcal{L} = & \mathbb{E}_{p(a_i | e_i, \mathbf{f}, m, n)} [h(i, a_i, m, n)] \\ & - \mathbb{E}_{\delta(j' | i, m, n)} [h(i, j', m, n)] \end{aligned}$$

- still quadratic time of the E-step
- Z_λ calculation using simple geometric progression formula in constant time!
- although optimizing for λ requires gradient descent, it also uses the same formula